

INTERCONNECTION AND DECOMPOSITION PROBLEMS WITH nD BEHAVIORS

Diego Napp, Marius van der Put and H.L. Trentelman

University of Groningen, The Netherlands

OUTLINE

- **Introduction : Motivation and aim.**
- **Modules and behaviors.**
- **Regular interconnection, controllable and autonomous**
- **Translating system problems to algebraic problems.**
- **A useful theorem.**
- **Controllable-autonomous decomposition with finite dimensional intersection.**
- **Almost regular interconnection.**

INTRODUCTION

The behavioral approach is an elegant framework for the analysis and design of multidimensional systems.

Regular Interconnection problem:

- Given a plant behavior \mathcal{B} and a control objective \mathcal{B}_d find a controller behavior \mathcal{B}_c such that the interconnection of \mathcal{B} and \mathcal{B}_c gives \mathcal{B}_d .
- If the restrictions of \mathcal{B}_c are independent of the restrictions of the plant then the interconnection is *regular* (as in a feedback loop).

INTRODUCTION

The behavioral approach is an elegant framework for the analysis and design of multidimensional systems.

Regular Interconnection problem:

- Given a plant behavior \mathcal{B} and a control objective \mathcal{B}_d find a controller behavior \mathcal{B}_c such that the interconnection of \mathcal{B} and \mathcal{B}_c gives \mathcal{B}_d .
- If the restrictions of \mathcal{B}_c are independent of the restrictions of the plant then the interconnection is *regular* (as in a feedback loop).

Autonomous-controllable Decomposition problem:

- When is it possible to write a system as the direct sum of its controllable part and an autonomous part?

INTRODUCTION

1D case:

- Regular Interconnection problem studied by J.C. Willems.
- Auton-control decomposition problem studied by Kalman.

nD case:

- Regular Intercon. problem studied by P. Rocha, E.Zerz, J. Wood...
- Auton-control decomposition problem studied by E.Zerz, Valcher...

INTRODUCTION

1D case:

- Regular Interconnection problem studied by J.C. Willems.
- Auton-control decomposition problem studied by Kalman.

nD case:

- Regular Intercon. problem studied by P. Rocha, E.Zerz, J. Wood...
- Auton-control decomposition problem studied by E.Zerz, Valcher...

Very strong conditions needed for solving the problems in nD systems!

When does there exist \mathcal{B}_c such that the regular interconnection of \mathcal{B} and \mathcal{B}^c gives "almost" \mathcal{B}^d ?

When can we decompose the system into the "almost" direct sum of the controllable part and the autonomous part?

What does "almost" mean?

MULTIDIMENSIONAL SYSTEMS

The system

$$\Sigma = (\mathcal{A}, q, \mathfrak{B})$$

\mathfrak{B} = Solution set of a system of linear constant-coefficient PDE's.

$\mathcal{A} = \mathcal{C}^\infty(\mathbb{R}^n, \mathbb{R})$ or $D'(\mathbb{R}^n, \mathbb{R})$ (for discrete case $\mathcal{A} = \mathbb{R}^{\mathbb{N}^n}$)

MULTIDIMENSIONAL SYSTEMS

The system

$$\Sigma = (\mathcal{A}, q, \mathfrak{B})$$

\mathfrak{B} = Solution set of a system of linear constant-coefficient PDE's.

$\mathcal{A} = \mathcal{C}^\infty(\mathbb{R}^n, \mathbb{R})$ or $D'(\mathbb{R}^n, \mathbb{R})$ (for discrete case $\mathcal{A} = \mathbb{R}^{\mathbb{N}^n}$)

$\mathfrak{D} := \mathbb{R}[\xi_1, \dots, \xi_n]$ the polynomial ring.

We can find $R \in \mathfrak{D}^{\bullet \times q}$ such that $R\left(\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n}\right)w = 0$

$\mathfrak{B} = \{w \in \mathcal{A}^q \mid \text{the equation holds}\} = \ker(R)$

MULTIDIMENSIONAL SYSTEMS

The system

$$\Sigma = (\mathcal{A}, q, \mathfrak{B})$$

\mathfrak{B} = Solution set of a system of linear constant-coefficient PDE's.

$\mathcal{A} = \mathcal{C}^\infty(\mathbb{R}^n, \mathbb{R})$ or $D'(\mathbb{R}^n, \mathbb{R})$ (for discrete case $\mathcal{A} = \mathbb{R}^{\mathbb{N}^n}$)

$\mathfrak{D} := \mathbb{R}[\xi_1, \dots, \xi_n]$ the polynomial ring.

We can find $R \in \mathfrak{D}^{\bullet \times q}$ such that $R\left(\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n}\right)w = 0$

$\mathfrak{B} = \{w \in \mathcal{A}^q \mid \text{the equation holds}\} = \ker(R)$

• For discrete case take the shift operator σ_i instead of $\frac{\partial}{\partial x_i}$.

MODULES AND BEHAVIORS

$\mathfrak{B}^\perp := \langle R \rangle =$ module of \mathfrak{D}^q generated by the rows of R

● Oberst showed that $\mathfrak{B} \xleftrightarrow{1:1} \mathfrak{B}^\perp$

nD linear systems \longleftrightarrow **Modules over \mathfrak{D}**

MODULES AND BEHAVIORS

$\mathfrak{B}^\perp := \langle R \rangle =$ module of \mathfrak{D}^q generated by the rows of R

• Oberst showed that $\mathfrak{B} \xleftrightarrow{1:1} \mathfrak{B}^\perp$

nD linear systems \longleftrightarrow **Modules over \mathfrak{D}**

• $\mathfrak{B}_1 \subseteq \mathfrak{B}_2 \iff \mathfrak{B}_1^\perp \supseteq \mathfrak{B}_2^\perp$

• $(\mathfrak{B}_1 + \mathfrak{B}_2)^\perp = \mathfrak{B}_1^\perp \cap \mathfrak{B}_2^\perp$

• $(\mathfrak{B}_1 \cap \mathfrak{B}_2)^\perp = \mathfrak{B}_1^\perp + \mathfrak{B}_2^\perp$

• \mathfrak{B} has finite dimension over $\mathbb{R} \iff \mathfrak{B}^\perp \subseteq \mathfrak{D}^q$ has finite codimension (i.e. $\mathfrak{D}^q / \mathfrak{B}^\perp$ has finite dimension).

INTERCONNECTION

Interconnection of systems is the basis for control in the behavioral framework and are described by interconnections of the corresponding behaviors. Thus

$$\mathfrak{B}_1 = \ker(R_1), \mathfrak{B}_2 = \ker(R_2) \Rightarrow \mathfrak{B}_1 \cap \mathfrak{B}_2 = \ker \begin{pmatrix} R_1 \\ R_2 \end{pmatrix}$$

INTERCONNECTION

Interconnection of systems is the basis for control in the behavioral framework and are described by interconnections of the corresponding behaviors. Thus

$$\mathfrak{B}_1 = \ker(R_1), \mathfrak{B}_2 = \ker(R_2) \Rightarrow \mathfrak{B}_1 \cap \mathfrak{B}_2 = \ker \begin{pmatrix} R_1 \\ R_2 \end{pmatrix}$$

- The interconnection is called **regular** if

$$\text{rank}(R_1) + \text{rank}(R_2) = \text{rank} \begin{pmatrix} R_1 \\ R_2 \end{pmatrix} \text{ or if } \boxed{\mathfrak{B}_1^\perp \cap \mathfrak{B}_2^\perp = 0}$$

Expresses the idea of "restricting what is not yet restricted".

INTERCONNECTION

Interconnection of systems is the basis for control in the behavioral framework and are described by interconnections of the corresponding behaviors. Thus

$$\mathfrak{B}_1 = \ker(R_1), \mathfrak{B}_2 = \ker(R_2) \Rightarrow \mathfrak{B}_1 \cap \mathfrak{B}_2 = \ker \begin{pmatrix} R_1 \\ R_2 \end{pmatrix}$$

- The interconnection is called **regular** if

$$\text{rank}(R_1) + \text{rank}(R_2) = \text{rank} \begin{pmatrix} R_1 \\ R_2 \end{pmatrix} \text{ or if } \boxed{\mathfrak{B}_1^\perp \cap \mathfrak{B}_2^\perp = 0}$$

Expresses the idea of "restricting what is not yet restricted".

- Given \mathfrak{B} , if there exists \mathfrak{B}_c s.t. $\mathfrak{B}_d = \mathfrak{B} \cap \mathfrak{B}_c$, then \mathfrak{B}_d is said to be **implementable** from \mathfrak{B} . And it is called **regular implementable** if the interconnection is regular.

CONTROLLABILITY

- A \mathfrak{B} is said to be **controllable** if for all $w_1, w_2 \in \mathfrak{B}$ and all sets $U_1, U_2 \subset \mathbb{R}^n$ with disjoint closure, there exist a $w \in \mathfrak{B}$ such that $w|_{U_1} = w_1|_{U_1}$ and $w|_{U_2} = w_2|_{U_2}$.
- Let N be a \mathfrak{D} -module. Then $n \in N$ is called a **torsion** element if there exists a nonzero $d \in \mathfrak{D}$ such that $dn = 0$.

\mathfrak{B} is **controllable** iff $\mathfrak{D}^q / \mathfrak{B}^\perp$ is **torsion free**

CONTROLLABILITY

- A \mathcal{B} is said to be **controllable** if for all $w_1, w_2 \in \mathcal{B}$ and all sets $U_1, U_2 \subset \mathbb{R}^n$ with disjoint closure, there exist a $w \in \mathcal{B}$ such that $w|_{U_1} = w_1|_{U_1}$ and $w|_{U_2} = w_2|_{U_2}$.
- Let N be a \mathcal{D} -module. Then $n \in N$ is called a **torsion** element if there exists a nonzero $d \in \mathcal{D}$ such that $dn = 0$.

\mathcal{B} is **controllable** iff $\mathcal{D}^q / \mathcal{B}^\perp$ is **torsion free**

- A $\mathcal{B} \subseteq \mathcal{A}$ is said to be **strongly controllable** if it is a direct summand of \mathcal{A} .
- A **free** module is a module having a free basis

A $\mathcal{B} \subseteq \mathcal{A}$ is said to be **strongly controllable** iff $\mathcal{D}^q / \mathcal{B}^\perp$ is **free**

CONTROLLABILITY

- A \mathcal{B} is said to be **controllable** if for all $w_1, w_2 \in \mathcal{B}$ and all sets $U_1, U_2 \subset \mathbb{R}^n$ with disjoint closure, there exist a $w \in \mathcal{B}$ such that $w|_{U_1} = w_1|_{U_1}$ and $w|_{U_2} = w_2|_{U_2}$.
- Let N be a \mathcal{D} -module. Then $n \in N$ is called a **torsion** element if there exists a nonzero $d \in \mathcal{D}$ such that $dn = 0$.

\mathcal{B} is **controllable** iff $\mathcal{D}^q / \mathcal{B}^\perp$ is **torsion free**

- A $\mathcal{B} \subseteq \mathcal{A}$ is said to be **strongly controllable** if it is a direct summand of \mathcal{A} .
- A **free** module is a module having a free basis

A $\mathcal{B} \subseteq \mathcal{A}$ is said to be **strongly controllable** iff $\mathcal{D}^q / \mathcal{B}^\perp$ is **free**

- \mathcal{B} is **autonomous** if $\mathcal{D}^q / \mathcal{B}^\perp$ is a **torsion module**

"Almost" regular implementability problem

"Almost" regular implementability problem:

Given \mathfrak{B} and a desired $\mathfrak{B}_d \subseteq \mathfrak{B}$ when does there exist \mathfrak{B}_c s.t. $\mathfrak{B}_d \subseteq \mathfrak{B} \cap \mathfrak{B}_c$ finite codimension with regular interconnection?

Equivalent to the algebraic problem:

Given are $N_1 \subseteq N \subseteq \mathfrak{D}^q$ when does there exist $N_2 \subseteq N \subseteq \mathfrak{D}^q$ s.t. $N_1 + N_2 \subseteq N$ finite codimension and $N_1 \cap N_2 = 0$?

- Note that $N_1 = \mathfrak{B}^\perp$, $N = \mathfrak{B}_d^\perp$, $N_2 = \mathfrak{B}_c^\perp$

"Almost" direct summand controllable-autonomous decomposition

"Almost" direct summand control-aut decomposition problem:

Given \mathcal{B} , is it possible to decompose it as

$$\mathcal{B} = \mathcal{B}_{contr} + \mathcal{B}_{aut} \quad \text{and} \quad \mathcal{B}_{contr} \cap \mathcal{B}_{aut} \quad \text{finite dimension}$$

Equivalent to the algebraic problem:

Given a \mathcal{D} -module M . Let M_t be its torsion part, does there exist a \mathcal{D} -module A such that

$$M_t + A \subseteq M \quad \text{with finite codimension and} \quad M_t \cap A = 0$$

A useful theorem

- From now on take $\mathfrak{D} = \mathbb{R}[\xi_1, \xi_2]$.

Theorem: Let M be a finitely generated \mathfrak{D} -module with no torsion. Then there exists a free \mathfrak{D} -module M^+ such that $M \subseteq M^+$ and M^+/M has finite dimension.

A useful theorem

- From now on take $\mathfrak{D} = \mathbb{R}[\xi_1, \xi_2]$.

Theorem: Let M be a finitely generated \mathfrak{D} -module with no torsion. Then there exists a free \mathfrak{D} -module M^+ such that $M \subseteq M^+$ and M^+/M has finite dimension.

Corollary: Given a controllable $2D$ behavior $\mathfrak{B} \subseteq \mathcal{A}^q$ there exists a strongly controllable sub-behavior $\mathfrak{B}' \subseteq \mathfrak{B}$

Solution to the "almost" regular implementability problem

Given \mathfrak{B} and a desired $\mathfrak{B}_d \subseteq \mathfrak{B}$ there exists \mathfrak{B}_c s.t. $\mathfrak{B}_d \subseteq \mathfrak{B} \cap \mathfrak{B}_c$ finite codimension with regular interconnection



Given are $N_1 \subseteq N \subseteq \mathfrak{D}^q$ there exists $N_2 \subseteq N \subseteq \mathfrak{D}^q$ s.t. $N_1 + N_2 \subseteq N$ finite codimension and $N_1 \cap N_2 = 0$



Given are $N_1 \subseteq N \subseteq \mathfrak{D}^q$, take $N_2 \subseteq N_2^+$ finite codimension and $N \subseteq N^+$ finite codimension then N_1^+ is direct summand of N^+

- Remember that $N_1 = \mathfrak{B}^\perp$, $N = \mathfrak{B}_d^\perp$
- Direct summands can be computed!!

Solution to "almost" direct summand control-aut decomposition

Given \mathcal{B} , is it possible to decompose it as
 $\mathcal{B} = \mathcal{B}_{contr} + \mathcal{B}_{aut}$ and $\mathcal{B}_{contr} \cap \mathcal{B}_{aut}$ finite dimension



Given a \mathcal{D} -module M . Let M_t be its torsion part, there exists a \mathcal{D} -module A such that $M_t + A \subseteq M$ with finite codimension and $M_t \cap A = 0$

Solution: Yes, it is possible of $n=2$.

Example: Take $n = 3$ i.e. $\mathcal{D} = \mathbb{R}[\xi_1, \xi_2, \xi_3]$ then

$\mathcal{B} = \ker \left(\begin{array}{cc} \frac{\partial}{\partial x_1} \frac{\partial}{\partial x_2} & -\frac{\partial^2}{\partial x_1^2} \end{array} \right)$ is not an "almost" direct summand of its controllable part and an autonomous part.